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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/711,200	09/01/2004	2811	770	FIS920040152US1	8	20	3

CONFIRMATION NO. 5199

45988  
 MCGUIREWOODS LLP  
 1750 TYSONS BOULEVARD  
 SUITE 1800  
 MCLEAN, VA 22102

## FILING RECEIPT



\*OC000000013981953\*

Date Mailed: 10/04/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Bruce B. Doris, Brewster, NY;  
 Oleg Gluschenkov, Poughkeepsie, NY;  
 Ying Zhang, Yorktown Heights, NY;  
 Huilong Zhu, Poughkeepsie, NY;

## Assignment For Published Patent Application

INTERNATIONAL BUSINESS MACHINES CORPORATION, Armonk, NY

Power of Attorney: The patent practitioners associated with Customer Number 45988.

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 10/01/2004

The number of your priority application, to be used for filing abroad under the Paris Convention is,  
**US10/711,200**

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

RECEIVED  
 OCT 27 2004  
 TECHNOLOGY CENTER 2809

**Early Publication Request:** No

**Title**

MULTI-GATE DEVICE WITH HIGH K DIELECTRIC FOR CHANNEL TOP SURFACE

**Preliminary Class**

257

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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## FORMALITIES LETTER



\*OC000000013981955\*

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## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

An application number and filing date have been accorded to this application. The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - More than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1)). See Figure(s) 1.

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